canada life™

Purchased Life Annuity

Annuity Plan IV

Application

An annuity purchased with client's own funds

In order for your application to be processed as a priority, the following must be completed.

Please enter the PLA Quote reference number

Example:

P	L	A	1	2	3	4	5	6	7	8	9	0	0	1

Important notice

Please answer all questions honestly and take reasonable care to make sure that those answers are correct. If you do not answer the questions honestly or correctly, your policy may be cancelled from the start or, if applicable, your claim rejected or not fully paid.

Canada Life

Throughout the document the term 'Canada Life' means Canada Life Limited

Guidance notes for a Purchased Life Annuity

Please include the following with the completed application:

	Enclosed
Proof of date of birth and any change of name (including marriage, divorce, civil partnership, deed poll) for each annuitant	☐
PLA6 form	☐
A fully completed Canada Life money laundering certificate for each annuitant and any different applicant(s)	☐
A cheque made payable to **Canada Life Limited** – this money must be drawn from the bank of the applicant(s) account or we will require evidence to show that this money belongs to the applicant(s)	☐

How to return your form

Annuity New Business, Canada Life Limited, Canada Life Place, Potters Bar, Hertfordshire EN6 5BA.

Please submit the original completed Application and appropriate documents.

Part 1 Annuitant details

Please complete the application in BLOCK CAPITALS and ensure all highlighted boxes are fully completed. Applications can only be accepted from UK residents.

Please enclose with this application the appropriate documents outlined in the guidance notes on page 2. Failure to do so may result in delaying your application and payment of the annuity.

HM Revenue and Customs Statement
It is a serious offence to make a false statement. The penalties are severe and could lead to prosecution.

Part 1a Personal details

	First annuitant	Second annuitant
Title		
Surname		
Forenames		
Correspondence address (including postcode)		
Contact number		
E-mail address		
Date of birth (day, month, year)		
Sex	Male ☐ Female ☐	Male ☐ Female ☐
National Insurance number		

Part 1b Payment details

The annuity payments are to be made to:
(Bank/Building society name and address, including postcode)

Postcode

Account number (must be 8 digits, including any leading zeros)

Roll number
(for building society accounts)

Account holder's name
(the first annuitant must be the only or joint owner of the account)

Sort code
(on your cheque book) — —

Important:
You must answer all questions fully, accurately and truthfully.

Part 2 Applicant details

Please complete all sections
To be completed when the applicant(s) is/are not the annuitant(s).
If the applicant(s) is/are the annuitant(s), the first annuitant will be Applicant 1 and the joint annuitant (if any) will be Applicant 2.

	Applicant 1	Applicant 2
Title		
Surname		
Forenames		

The address of the first applicant will be used for all applicant correspondence

Correspondence address (including postcode)		
Contact number		
E-mail address		
Date of birth (day, month, year)		

Important:
You must answer all questions fully, accurately and truthfully.

Part 3 Adviser renumeration/payment to your professional adviser

Do you want us to deduct and pay an adviser charge to your professional adviser from this application?
If 'Yes', please complete the section immediately below

Yes ☐ No ☐

Part 3a Adviser charge

How much would you like us to deduct from the total purchase money?

Percentage of purchase money [%] or Monetary amount [£]

Important information – adviser charges

- Whether we deduct and pay any adviser charge is at our discretion and we will notify you in the event we decide not to.
- There may be instances where we may need to query the level of adviser charge with your adviser, in which instance we will notify you and get further instructions from you.
- If you complete and return the cancellation notice then the money returned to you will be the amount remaining after any adviser charge has been paid to your professional adviser.

Part 3b Commission payments

If **advice has not been given** in respect of this annuity purchase your intermediary may have agreed with you to receive commission for the services provided.

Initial commission agreed with your intermediary (the maximum commission that can be paid is 2% of the purchase money applied to the policy) [%]

Important:
You must answer all questions fully, accurately and truthfully.

Data Protection notice (DPN)

Data Protection Notice

Any personal data provided to CLFIS (U.K.) Limited, (referred to as 'Canada Life' in this notice) as data controller will be treated in accordance with the Data Protection Act 2018 (DPA).

By completing this form you consent to Canada Life using and sharing your personal data as set out in this notice, including, without limitation, the processing of special category personal data.

Using personal data

In the case of prospective employees, Canada Life uses personal data to undertake any activity relating to its recruitment and selection process and to administer employment contracts where candidates have been successful and only on the basis for the purposes for which it is collected.

International Transfers

Given the global nature of our business, we use third party suppliers and outsourced services (including cloud based services), which can require transfers of personal data outside of the European Economic Area (EEA). In doing so, we will ensure there are contractual arrangements in place with those organisations who have appropriate organisational and technical measures to protect your personal data.

Sharing personal data

We share personal data only on the basis of the purposes for which it was collected. This notice is intended to illustrate the instances where data may be shared. However, we will share your data only for the limited and compatible purposes for which it was originally obtained:

- with other Canada Life group companies including those outside the EEA;
- with any of its or their service providers, reinsurers and regulators;
- with other companies, organisations and associations and/or credit reference agencies in order to prevent, detect or investigate crime, and additionally in the case of prospective employees, in order to complete pre-employment screening;
- with any other third party providers for the provision of colleague engagement surveys, feedback and statistical analysis; and/or
- in any circumstances if permitted or required to do so by law or if Canada Life has consent to do so.

Retention of your personal data

We will keep your personal data only for so long as is necessary and for the purpose for which it was originally collected.

Non-EU entities

On 31 January 2020 the UK left the European Union ('EU'), ceasing to be a member. EU law requires that all entities processing the data of EU citizens that are not established in the EU designate in writing a Representative in the EU to be addressed in addition to or instead of that entity by EU citizens on all issues related to data processing. In order to meet our requirements, any Canada Life entity listed above that is not established in the EU, which processes the personal data of EU citizens has designated Canada Life Irish Holding Company Limited, an Irish registered entity within the Canada Life group, as its Representative. The Representative may also be called upon to cooperate with competent supervisory authorities with regard to ensuring compliance with the General Data Protection Regulation ('GDPR').

Contractual clauses in place between Canada Life and its group entities and external suppliers are compliant with the GDPR, which ensures that personal data provided to Canada Life is processed in accordance with our instructions and the requirements of the GDPR. Canada Life will continue to follow and apply all appropriate data protection legislation.

YOUR RIGHTS AND CONTACT DETAILS OF THE INFORMATION COMMISSIONER'S OFFICE (ICO)

You may have the right to require us to:

- provide you with further details on the use we make of your personal data or your special categories of data;
- provide you with a copy of the personal data that you have provided to us or which we hold;
- update any inaccuracies in the personal data we hold;
- delete any special category of data or personal data for which we no longer have lawful grounds to use;
- cease processing of your personal data that is based on consent, by withdrawing your consent to that particular processing;
- cease any processing based on legitimate interests grounds, unless our reasons for undertaking that processing outweigh any prejudice to your data protection rights; and
- restrict how we use your personal data whilst a complaint is being investigated.

In certain circumstances, we may need to restrict the rights listed above in order to safeguard the public interest (e.g. the prevention or detection of crime), our interests (e.g. the maintenance of our legal responsibilities) and for the performance of our contract with an employer who is the policyholder for employer-related products and services.

Data Protection Officer (DPO)

If you have any questions, or complaints, in relation to our use of your personal data, you should first contact our DPO, on the details below:

Canada Life Limited, Canada Life Place, Potters Bar, Hertfordshire, EN6 5BA
or by email at: dpo@canadalife.co.uk.

In the unlikely event that you are dissatisfied with our response, you have the right to take the matter up with the Information Commissioner's Office (ICO), whose address is: Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire, SK9 5AF

The full version of our DPN can be found on our website, www.canadalife.co.uk or is available upon request by calling 0345 6060708.

This DPN is dated 1st February 2023. Any future updates will be made available as described above.

Part 4 Declaration

I/We would like Canada Life to issue a policy as set out in this application and based on the features I/we have chosen in the accepted Personal Example, reference number:

PLA Quote reference number

The Personal Example reference number, which is in the box at the top left hand side of the Personal Example, **MUST** always be quoted in the space above.

I/We consent to any adviser charge deductions as set out in the 'Adviser remuneration' part of this application form.

Where I/we have declared my/our tax residency, and other details, the declaration has been made to the best of my/our knowledge and belief and is complete and true.

I/We confirm that I/we have answered the questions in this application honestly and have taken reasonable care to ensure that those answers are correct.

I/We confirm that in the period before the acceptance of this application, I/we will inform Canada Life of any matter or fact that would make the answers to the questions in this application incorrect or untrue.

I/We accept that in order to comply with regulatory obligations, Canada Life may require documents to verify my/our identity and residential address. In the absence of such documents, Canada Life may use credit reference agency searches to verify the identity and address. This will not affect my/our credit rating. Canada Life reserves the right to ask for further documentation to confirm my/our identity and address.

I/We confirm that I/we have read the data protection notice and I am/we are aware of my/our rights.

I/We instruct my/our heirs, executors and administrators to refund to Canada Life any payment deposited in error should my/our bank not have heard of my/our death(s) when the payment was received by them.

About the benefits I/we have chosen.
I/We understand that:

Once this application has been accepted Canada Life will tell me/us the actual amounts of money that I/we will receive.

The following shall constitute the contract between me/us and Canada Life:

a) This application form; and

b) The policy provisions, the policy schedule and any endorsements.

The contract is governed by the laws of England and Wales. The contract will qualify for the statutory cancellation rights, which are exercisable by me/us within 30 days from the receipt of the policy.

Complaints that Canada Life cannot settle may be referred to the Financial Ombudsman Service.

Signature

Date (day, month, year)

Applicant 1 **(Confirming your agreement to this application, including adviser charge deductions entered in part D 'Adviser remuneration', if appropriate, data protection notice and declaration terms).**

/ /

Applicant 2 **(Confirming your agreement to this application, including adviser charge deductions entered in part D 'Adviser remuneration', if appropriate, data protection notice and declaration terms).**

/ /

Important:
You must answer all questions fully, accurately and truthfully.

Part 5

To be completed by the professional adviser/intermediary

For FCA reporting requirements please give the basis of sale or service in relation to this application

Advised		**Non-advised**	
Independent	☐	No advice	☐
Restricted	☐	Execution only	☐
Simplified	☐	Commission (unavailable if the basis of sale is advised)	☐
Basic	☐		

Part 5a Professional adviser details

Agency number if known
(You will find this on your commission statement)
L

Professional adviser firm

Name of person submitting the application

Address including postcode

Postcode

Telephone number

Fax number

E-mail address

Regulatory body

Are you part of a network or national firm? If so please provide the name

FCA number

Tick the box if this is the first time you have placed business with Canada Life? ☐

If you have ticked the box, to obtain our terms of business application form either phone us on **0345 6060708** or email **newbusinessannuities@canadalife.co.uk**

How would you like to be contacted?
Email / Phone / Fax

Canada Life Limited, registered in England and Wales no. 973271. Registered office: Canada Life Place, Potters Bar, Hertfordshire EN6 5BA.
Canada Life Limited is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority.

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