

Segregated Portfolio Service Manager Appointment Request Form

When completing this appointment request form please note the following:

1. We strongly recommend that you read the Segregated Portfolio Service Client Guide (ID6856) so you fully understand the nature of the risks and investor obligations that will apply to your Account while you remain invested in the Segregated Portfolio Service. You should only select this service if you are willing to pass all investment decision-making over to your Discretionary Fund Manager and you accept that you cannot invest in any assets other than the Segregated Portfolio Service while this agreement is in force. If you fail to adhere to the terms of the agreement you could face punitive tax charges. If you don't understand anything, you should ask your adviser. In addition, you should ensure that your adviser has explained to you the related charges associated with this investment option.
2. Please ensure you sign and date the declarations on pages 5 and 6 (and page 7 if you are transferring assets).
3. This form makes reference to 'we', 'us', 'our' and 'the Company'. This is generally a reference to Canada Life International Limited, 'CLI' and Canada Life International Assurance (Ireland) DAC, 'CLIAI'.
4. When completed, please forward the appointment form to the Company's postal address: Canada Life House, Isle of Man Business Park, Douglas, Isle of Man IM2 2QJ.
5. Segregated Portfolio Service is limited to products set out on page 2.

For Adviser Use only

Please complete as appropriate

New Account Application – A separate Account Application form is submitted with this form

Existing Account moving to Segregated Portfolio Service

Product Information

Segregated Portfolio Service is not available under every International account.

* It is only offered through the following range of international investment Accounts;

- Premiere Account & Premiere Europe Account
- Premiere Discounted Trust Account & Premiere Europe Discounted Trust Account
- Wealth Preservation Account & Wealth Preservation Europe Account
- Controlled Access Account
- International Portfolio Bond
- Enhanced Estate Preservation Account
- Estate Preservation Account
- Inheritance Planning Account

Account Details

To be completed by the applicant for/owner of the Account.

The form is a wide ranging authority allowing both investment dealing and selection of a custodian by the discretionary fund manager (the 'nominated investment manager'). We will enter into an agreement(s) with the nominated investment manager in a manner determined by us at its absolute discretion.

Charges will be payable for this/these service(s). These will be deducted from the assets within the account which are managed by the nominated investment manager. The charges may vary depending on the nominated investment manager you select. The charges may not include charges that could be classed as adviser charges.

We apply an Asset Administration Charge to Segregated Portfolio Service investments. For further information, please refer to the appropriate Charges & Fees document which can be found on our website www.canadalife.co.uk. Please note that this will not affect any of the product charges on your account you have previously agreed with your adviser.

Please complete as appropriate.

Existing account number

or

Date of the
account application

Investment Choice

Is this a new Segregated Portfolio Service arrangement?

The funds will be managed by your nominated investment manager named below. Please confirm the percentage or amount that needs to be sent*

 % £

*Please complete just one box

Is any of the Premium an in-specie or stock transfer?

 Yes

If 'Yes', please provide a current statement (including fund identification numbers) for the assets to be transferred.

Please complete all sections of this document including the Transfer of Assets section on pages 7 and 8.

Is this an additional investment into an existing Segregated Portfolio arrangement?

If the funds are to be managed by your existing nominated investment manager please confirm the percentage or amount that needs to be sent*

 % £

*Please complete just one box

If your nominated investment manager is the same as your original investment, please proceed to the Declarations on Page 4.

Is any of the Premium an in-specie or stock transfer?

 Yes

If 'Yes', please provide a current statement (including fund identification numbers) for the assets to be transferred.

Please proceed to page 7 of this document and complete all elements of the Transfer of Assets section on pages 7 and 8.

Account Details

Continued

I request that the Company appoints the Investment manager named to be the nominated investment manager, on a discretionary basis, of the assets contained within the account, as indicated on page 6.

Nominated investment manager details

Nominated investment manager's name (a person)	<input type="text"/>
and	
Nominated investment manager's company name	<input type="text"/>
Address	<input type="text"/>
	<input type="text"/>
Postcode	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Email address	<input type="text"/>
Telephone number (including STD)	<input type="text"/>
Fax number (including STD)	<input type="text"/>
Name of regulator	<input type="text"/>
Registration number	<input type="text"/>
Category of authorisation and relevant permitted activity	<input type="text"/>

Declarations

I request that the Company appoint the nominated investment manager stated on page 3 to manage the assets contained within the account.

I authorise the Company to disclose all relevant information relating to the account to the nominated investment manager on request.

I have satisfied myself that the nominated investment manager is authorised where relevant to provide discretionary fund management.

I acknowledge and agree that no party (including me or my adviser), other than the Company, shall be permitted to provide dealing instructions or directions to the nominated investment manager or influence investment decisions made by the nominated investment manager, save that I may indicate a desired level of risk.

I accept that if the balance in the cash account within the account is not sufficient to cover agreed deductions including policy charges and requested regular withdrawals, then the nominated investment manager has authority to liquidate such assets from within the portfolio which they manage without reference to me and transfer them to the account's cash account on request from the Company.

I acknowledge and accept that the assets that will be managed by the nominated investment manager will be owned by the Company and, therefore, the Company shall appoint the nominated investment manager, at its absolute discretion, and on whatever terms the Company in its absolute discretion deems appropriate. I also acknowledge and accept that any agreement between the Company and the nominated investment manager:

- Will state that assets purchased by the nominated investment manager must meet the following criteria:
 - For CLIAI, the European Union (Insurance and Reinsurance) Regulations 2015 (S.I. 485 of 2015) (which transposed into Irish law the Solvency II Directive (Directive 2009/138/EC)) (the “**Solvency II Regulations**”) as well as any additional requirements set out by the Central Bank of Ireland or
 - For CLI, the Isle of Man Insurance Act 2000 as well as any additional requirements set out by the Isle of Man Financial Services Authority.
 - every asset must be in a jurisdiction which is acceptable to the Company; and
 - every asset must be subject to regulation in its jurisdiction although the Company reserves the right to waive this restriction at its absolute discretion.

I acknowledge that in respect of the assets managed by the nominated investment manager, the Company will levy the following charges, in addition to any VAT arising (if applicable) in respect of the investment services provided.

The Nominated Investment Manager Charge percentage (shown here) is the charge which you have agreed your nominated investment manager will receive for managing the assets. It is the Company's responsibility to approve this percentage. Acceptance of the instruction by the Company constitutes approval by the Company.

Nominated Investment Manager Charge % each year of the assets managed.

I wish the Company to appoint the nominated investment manager to manage the assets contained within the account and identified in this form in accordance with the investment objective and attitude to risk set out below. I acknowledge that I may need to amend my investment objective in the event that the nominated investment manager is unable to manage assets in accordance with the investment objective. I acknowledge that the Company will not be responsible for any investment strategy and/or risk criteria followed by the nominated investment manager and will enforce specific investment restrictions on the types of assets that the nominated investment manager may invest in. I acknowledge that the Company reserves the right to refuse to accept a selected investment objective if the nominated investment manager informs the Company that it is unwilling or unable to comply with it.

The attached document gives details about my investment objectives and attitude to risk and personal details for the information of the nominated investment manager (please tick).

If you have not attached a separate document as referenced above, please insert your investment objective and risk profile in the boxes provided. To determine your investment objective and risk profile please contact your adviser.

Investment objective

Attitude to risk

Declarations (continued)

I acknowledge that the Company may terminate the relationship with the nominated investment manager in certain circumstances at any time by providing written notice to the nominated investment manager.

I acknowledge that I may request the termination of the nominated investment manager facility by providing written notice to the Company. Termination will take effect when the monetary value of all relevant assets is transferred back to the Company and/or to another nominated investment manager.

In the event of termination of the nominated investment manager facility, I acknowledge that the nominated investment manager will dispose of all portfolio holdings and return the monetary value of the holdings net of any disposal costs to the Company.

I acknowledge that this appointment form together with the relevant **Product Guide** or **Policy Provisions, Key Features**, the **Charges and Fees document** (IOM01353) and **Policy Schedule(s)** issued by the Company contain all the terms governing my relationship with the Company in relation to my Account.

I accept that different asset classes may be used in the construction of the portfolio managed by the nominated investment manager including equities (both individual and in collective managed funds), fixed interest stocks (such as Government gilts and corporate bonds), cash, hedge funds, structured products, property funds and commodity funds.

I accept that no assets other than a Segregated Portfolio Service can be invested in while this agreement is in force.

I accept that all investment decisions will be made by the nominated investment manager after taking into consideration my attitude to risk and investment objectives.

I acknowledge and agree that I will not give investment instructions to the nominated investment manager or attempt to influence the investment choices made in respect of the assets managed by the nominated investment manager.

I acknowledge that the Company reserves the right to refuse the appointment of an investment manager and/or a custodian at its absolute discretion.

I authorise the Company to implement the Nominated Investment Manager Charge.

I agree to the deduction of the Segregated Portfolio Service Charge as shown in the appropriate Charges & Fees document (IOM01353).

I accept the declarations outlined above.

If you accept these declarations please sign below.

When the Company has received everything it requires to put the Account in-force, then the Company will proceed to appoint the nominated investment manager, at its sole discretion, and/or complete formalities with the nominated investment manager to manage amounts as requested without further notice.

All personal applicants/owners must sign this form.

The relevant authorised signatories must sign this form on behalf of corporate applicants/policyholders.

First or sole policyholder/authorised signatory/trustee

Mr/Mrs/Miss/Ms/Other

Surname

Forename(s) (in full)

Signed

Date

D	D	M	M	Y	Y	Y	Y
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Second policyholder/authorised signatory/trustee

Mr/Mrs/Miss/Ms/Other

Surname

Forename(s) (in full)

Signed

Date

D	D	M	M	Y	Y	Y	Y
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Declarations (continued)

Third policyholder/authorised signatory/trustee

Mr/Mrs/Miss/Ms/Other	<input type="text"/>
Surname	<input type="text"/>
Forename(s) (in full)	<input type="text"/>
Signed	<input type="text"/>
Date	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Fourth policyholder/authorised signatory/trustee

Mr/Mrs/Miss/Ms/Other	<input type="text"/>
Surname	<input type="text"/>
Forename(s) (in full)	<input type="text"/>
Signed	<input type="text"/>
Date	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

For Adviser Use Only

I confirm that no party (including me or the policyholder) other than the Company, shall be permitted to provide dealing instructions to the nominated investment manager or influence investment decisions made by the nominated investment manager. I also confirm that no fund adviser fee will be charged under this agreement. Please update the Company records.

Full name of regulated firm (or sole trader)	<input type="text"/>
Financial Conduct Authority registration number	<input type="text"/>
Agency number	<input type="text"/>
Name of representative (if different from above)	<input type="text"/>
Position	<input type="text"/>
Signature	<input type="text"/>
Date	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

For nominated investment manager use only

The nominated investment manager

- notes the Company instruction to manage the account assets in line with the Segregated Portfolio Service agreement and confirm not to take any investment instructions from the applicant/policyholder or their adviser.
- acknowledges the instruction to realise sufficient monies from the portfolio managed on behalf of the Company to ensure there is sufficient cash in the account's cash account to cover deductions.

Signed for and on behalf of the nominated investment manager:

Name (Print)	<input type="text"/>
Signed	<input type="text"/>
Date	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>

Transfer of assets

Please only complete this section if a transfer of assets into the account will take place upon appointment of the nominated investment manager or a discretionary fund manager arrangement is converting into an SPS arrangement.

The value of the first transfer of assets must be at least £100,000 (€150,000 or US\$200,000) whenever this occurs.

Amount of the latest valuation

Date of the latest valuation

Please list all assets to be transferred. On a separate document, please show for each asset the name of the investment manager, the quantity (for example, number of units) and the valuation (with the valuation date).

The investment (whether comprising cash and/or transferred assets) will be divided equally between all of the identical policies that currently make up the account. Transferred assets must be specifically agreed by the Company as being allowable assets within the account.

The following sections of the form should only be completed if existing assets are being transferred in to the Segregated Portfolio Service. **Please note additional conditions will need to be met to enable a transfer of assets to go ahead. The assets must originate from a discretionary portfolio and have been selected by the investment manager of the portfolio. The assets must have been held for more than 6 months and no asset can exceed 33% of the portfolio. Otherwise the assets must be sold and transferred as cash.**

Declarations for the Applicants

Part of the investment into the account will include a transfer of assets .

Please tick each of the boxes below to confirm that you have read and consent to the following:

1. I confirm that the assets being transferred into the account ("the Assets") were held in a discretionary portfolio and were selected by my nominated investment manager and held on my behalf for more than 6 months.
2. I accept that I will have no influence on when individual assets are sold, nor on particular assets that are subsequently purchased using such funds.
3. I confirm that the the transfer of the Assets into the account is entirely at the discretion of the nominated investment manager. I or a person connected with me or acting on my behalf have not influenced the selection of Assets, save for indicating a desired level of risk and outlining the broad investment objectives in relation to the account.

Name (Print)

Signed

Date

Name (Print)

Signed

Date

Name (Print)

Signed

Date

Name (Print)

Signed

Date

Declarations for the Nominated Investment Manager

Part of the investment into the account will include a transfer of assets and it is confirmed. **Please tick each of the boxes** below to confirm that the nominated investment manager has read and consents to the following:

1. The nominated investment manager confirms that the Assets were held in a discretionary portfolio and were selected by the nominated investment manager and held by the nominated investment manager on behalf of the Applicant(s).
2. The nominated investment manager accepts discretion over the nature and management of the Assets, including the choice of any wrapper in which the Assets are held.
3. The nominated investment manager accepts that the transfer of the Assets into the account is entirely at the discretion of the nominated investment manager. The Applicant(s) or a person connected with or acting on behalf of the Applicant(s) has not influenced the selection of Assets, save for indicating a desired level of risk and outlining the broad investment objectives in relation to the account.
4. The nominated Investment manager confirms that an individual asset holding does not comprise more than 33% of the Assets being transferred.
5. The nominated investment manager confirms that the Applicant(s) will have no influence on when individual assets are sold, nor on particular assets that are subsequently purchased using such funds.
6. The nominated Investment manager confirms that if an Affiliate of the nominated investment manager provides financial advisory services or investment management services to the Applicant, the nominated investment manager shall ensure that sufficient procedures are in place to prevent the nominated investment manager receiving directions from such agent or Affiliate. The nominated investment manager shall provide confirmation to the Company on an annual basis that such procedures have been reviewed and complied with.
7. The nominated Investment manager confirms that all of the assets being transferred have been held by the nominated investment manager on behalf of the Applicant(s) for more than six months.
8. The nominated investment manager will ensure that all investments held within the bond comply with
 - For CLIAI, the European Union (Insurance and Reinsurance) Regulations 2015 (S.I. 485 of 2015) (which transposed into Irish law the Solvency II Directive (Directive 2009/138/EC)) (the “**Solvency II Regulations**”) as well as any additional requirements set out by the Central Bank of Ireland or
 - For CLI, the Isle of Man Insurance Act 2000 as well as any additional requirements set out by the Isle of Man Financial Services Authority.

The permitted assets, in addition to those that may be held under a standard discretionary arrangement, are:

- UK and Global Equities
- UK Gilts
- Government, municipal and corporate bonds
- Eurobonds
- Closed-ended investment schemes
- Structured products

The Solvency II Regulations may allow other types of investment to be held but these will have to be assessed by the Company on a case by case basis.

9. The below signatory is authorised to provide the above confirmation on behalf of the nominated investment manager.

Name (Print)

Signed

Date

D	D	M	M	Y	Y	Y	Y
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Canada Life International Limited, registered in the Isle of Man no. 33178. Registered office: Canada Life House, Isle of Man Business Park, Douglas, Isle of Man IM2 2QJ.. Telephone: +44 (0) 1624 820200 Fax: +44 (0) 1624 820201 www.canadalifeint.com Member of the Association of International Life Offices.

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